IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ASHBY

Serial No.: 09/896,232

Filed: June 29, 2001

Title: ELECTRONIC EXCHANGE APPARATUS AND METHOD

Group Art Unit: 3621 Examiner: P. E. Elisca Docket: HALO-P004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being mailed first class postage prepaid to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 24, 2004.

Signed:

Sandra Halliwell

AMENDMENT A

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

MAR 3 1 2004

GROUP 3600

Dear Sir:

In response to the Office Action mailed December 24, 2003, Applicant petitions for entry and consideration of the following Remarks and Amendment.

Please direct future correspondence to Customer Number 32986.

Applicant requests that all deficits and credits be referenced to <u>Deposit Account No. 502284</u> order HALO-P004-US.

Please amend the above-identified application as follows:

The Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.